

FOR THE SECOND CIRCUIT
APPLICATION FOR POSITION OF
UNITED STATES BANKRUPTCY JUDGE
FOR THE SOUTHERN DISTRICT OF NEW YORK

General:

1. Full name: _____

2. All other names by which you have been known: _____

3. Present occupation: _____ Title: _____

4. Office address: _____

City: _____ State: _____

Zip: _____ Telephone: _____

5. Residential address: _____

City: _____ State: _____

Zip: _____ Telephone: _____

6. Place of birth: _____

Date of birth: _____

7. Length of residence in New York:

8. If you are a naturalized citizen, please state the date and place of naturalization:

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9. Spouse's name: _____

10. Spouse's occupation: _____

If spouse is an attorney, will he/she practice before the bankruptcy court if you are appointed bankruptcy judge:

Yes _____ No _____

11. Colleges and universities (including professional schools) attended, dates, degrees, and class standing (if known):

12. Military service:

Branch	Dates
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Rank or rate at discharge	Type of discharge
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13. Are you related by blood or marriage to any Judge or Member of the Judicial Council of the Second Circuit, or a Judge of the United States District Court for the Southern District of New York? If so, give their names and relationship:

Health

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14. Do you have, or have you within the past ten years had, any drug or alcohol addiction, or any serious physical or mental illness?

_____ Yes _____ No

If "Yes", give details of condition and treatment on attached sheets.

- 14A. Do you have any visual or hearing impairment that would prevent you from performing the functions of bankruptcy judge or that would require accommodation of the court to permit you to perform those functions?

_____ Yes _____ No

If "Yes", provide details on attached sheets. (Be assured that the Court is sensitive to the provisions of the Americans With Disabilities Act)

Honors

15. Were you a member of any law review? If yes, give names, positions and dates.

16. If you have published any legal books or articles, please list them, giving citations and dates:

17. List any honors, prizes, or awards you have received:

18. Continuing legal education courses completed within last 5 years:

Professional Admissions :

19. List all courts (including state bar admissions) and administrative bodies having special admission requirements in which you are

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presently admitted to practice, giving the dates of admission in each case:

Court or Administrative Body/	Date of Admission/I.D. No.
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Law Practice

20. Attach a chronological list, beginning with your admission to law school, all self-employment and all law firms, public agencies, private businesses or non-profit organizations by which you have been employed or with which you have been associated, stating the name, position, dates, a point of contact, address and telephone number for each.

21. Describe the general nature of your current practice including any legal specialties which you possess and the characteristics of your typical clients. Additionally, if your practice is substantially different now than previously, please give the same information with respect to your prior practice:

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22. a) Do you regularly appear in court?

b) What percentage of your appearances in the last 5 years were in:

1. Bankruptcy Court	<hr style="display: inline-block; width: 100px; vertical-align: middle;"/>	%
2. Federal District and Appeals Courts . .	<hr style="display: inline-block; width: 100px; vertical-align: middle;"/>	%
3. State or Local Courts of Record	<hr style="display: inline-block; width: 100px; vertical-align: middle;"/>	%
4. Administrative Bodies	<hr style="display: inline-block; width: 100px; vertical-align: middle;"/>	%
5. Other	<hr style="display: inline-block; width: 100px; vertical-align: middle;"/>	%

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23. During the past five years, what percentage of your practice has been trial practice? _____ %

How frequently have you appeared in court? _____ times per month.

How frequently have you appeared at administrative hearings?

_____ times per month.

What percentage of your practice involving litigation has been:

Bankruptcy	_____	%
Civil	_____	%
Criminal	_____	%
Administrative.	_____	%
_____	_____	%
_____	_____	%
_____	_____	%

- a. State the names of cases you have tried to conclusion in courts of record during each of the past five years, including whether you were sole, associate, or chief counsel. Give citations of any reported cases. Do not list more than ten cases.

- b. State the names of appeals you have handled to conclusion in appellate courts during each of the past five years, including whether you were sole, associate, or chief counsel. Give citations of any reported cases.

24. With respect to the cases listed for question number 23 (a) as well as any other cases you have litigated during the last five (5)

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years, list briefly for each such case the issues presented, the name, current address and telephone number of your adversary(ies) and co-counsel in each, and the judge before whom you appeared.

25. Have you served as a trustee or other court-appointed officer in bankruptcy matters? Yes _____ No _____

If yes, provide the approximate number of such cases and list the more important matters in which you served. _____ cases.

Matters Handled	Court
_____	_____
_____	_____
_____	_____
_____	_____

Public Office

26. Have you ever run for, or held, public office? If so, give details.

27. a) Have you ever held judicial office or been a candidate for judicial office? If so, please state the courts involved and the dates of service, or dates of candidacy:

- b) Prior quasi-judicial service:

Name of Agency: _____

Position held: _____

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Hearing on what issues: _____

Number of cases adjudicated: _____

Dates of Service: _____

Business Involvement :

28. a) If you are now an officer, director or otherwise engaged in the management of any business enterprise, state the name of such enterprise, the nature of the business, the nature of your duties, and whether you intend to resign such position immediately upon your appointment to judicial office.

- b) Since being admitted to the Bar, have you ever engaged in any occupation, business or profession other than the practice of law? If so, give the details, including dates.

- c) State whether during the past five years you have received any fees or compensation of any kind, other than for legal services rendered, from any business enterprise, institution, organization or association of any kind. If so, identify the source of such compensation, the nature of the business enterprise, institution, organization or association involved, and the dates such compensation was paid.

Disciplinary Proceedings/Offenses :

29. a) Have you ever been arrested, charged, or convicted for violation of any federal law, state law, county or municipal

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law, regulation or ordinance? If so, please give details. Do not include traffic violations for which a fine of \$200 or less was imposed unless it also included a jail sentence.

- b) Have you, to your knowledge, ever been under federal, state or local investigation for possible violation of a criminal statute? If so, give particulars.

30. Have you ever been sued by a client? If so, give particulars.

31. Have you ever been involved as a party to any litigation (criminal, civil or administrative)? If so, give details.

32. Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct by any court, administrative agency, bar association, or other professional group or, without regard to the outcome, to your knowledge has there ever been a complaint against you with or by any court, administrative agency, bar association or other professional group?

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_____ Yes _____ No If yes, give details.

a) Have you ever been charged or investigated to determine if you should be charged with misconduct, incompetence, unsatisfactory performance of duty or violation of law?

_____ Yes _____ No. If "Yes", give details

b) Have you, to your knowledge, ever been found not qualified for any public position by any court, administration agency, bar association or other professional group?

_____ Yes _____ No. If "Yes", give details.

c) Have you ever been disciplined or terminated by or resigned to avoid possible termination from any public or private employer, law firm or any other entity because of misconduct, incompetence or unsatisfactory performance?

_____ Yes _____ No. If "Yes", give details.

d) Have you ever resigned from, or for other reasons ceased to be a member of, the bar or bench of any state or court in any jurisdiction, a member of any governmental body, a hearing officer or the holder of any similar position?

_____ Yes _____ No. If "Yes", give details.

Taxes

33. To the best of your knowledge, are you in compliance with the tax laws of the federal government and the state, county and community of which you are a resident?

_____ Yes _____ No

34. Have you timely filed appropriate tax returns as required by federal, state, local and other government authorities?

_____ Yes _____ No

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35. Has a tax lien or other collection procedure ever been instituted against you by federal, state or local authorities?

_____ Yes _____ No If yes, give details.

36. To your knowledge, do you have any unanswered summonses, traffic tickets or notices of infraction against you by any federal, state or local authorities?

_____ Yes _____ No. If yes, give details.

a) Are there any unsatisfied judgments against you?

_____ Yes _____ No. If yes, give details.

b) Are you in default in the performance or discharge of any duty or obligation imposed upon you by decree or order of any court or administrative agency, including alimony/maintenance and support order decrees?

c) Have you ever made an assignment for the benefit of creditors?

_____ Yes _____ No. If "Yes", give particulars.

d) Has any petition in bankruptcy ever been filed by or against you?

_____ Yes _____ No. If "Yes", give particulars.

Professional and Other Activities

37. a) List all bar associations and legal professional societies of which you are a member and give the titles and dates of any office you have held in such groups, and committees to which you belonged.

b) List all organizations and clubs, other than bar associations and professional societies identified in response to Question No. 37(a), of which you have been a member during the past ten

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years, including the titles and dates of any offices you have held in such organization.

38. Describe any civic, philanthropic, community, social or public service activities during the past five years, including any posts or offices held and honors or awards received.

Supplemental Information :

39. Do any clubs or private associations to which you belong discriminate against women or minorities?

_____ Yes _____ No

40. State any achievements or actions you have accomplished, demonstrating your commitment to equal justice under the law.

41. State any additional education or other experiences you believe would assist you in holding judicial office.

42. State any other pertinent information reflecting positively or adversely on you which you believe should be disclosed to the Court of Appeals, the Judicial Council and the selection panel in connection with your possible nomination as United States Bankruptcy Judge.

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43. Give the names and current phone numbers of at least five (5) but not more than eight (8) persons (excluding relatives) as references who are familiar with your professional work and abilities and with your personal character, stating how long and in what capacity each has known you.

Name and
Relationship

Telephone
Number

Period of
Acquaintance

Confidentiality Statement

This application will be kept confidential and will be examined only by members of the Merit Selection Panel, the Circuit Executive, Judges of the Judicial Council, and the Judges of the United States Court of Appeals for the Second Circuit. The individuals whom you have listed as references may be contacted by the Panel. If you do not wish employers, co-workers, adversaries, colleagues or others (such as physicians and hospitals) to be contacted, please indicate by a check mark, _____ .

If you wish to withhold your consent to such contacts until such time as you may be considered a potential finalist for the position, and in that event, consent to such contacts, please indicate by a check mark _____ .

Date

Signature of Candidate

Submit an original plus 6 copies of the completed application and your writing sample of no more than 10 pages, all on three-holed drilled paper. In addition, send a diskette, in Portable Document Format (PDF), containing both application and writing sample. Send to:

Office of the Circuit Executive
United States Courthouse
40 Foley Square, Room 2904
New York, New York 10007
Phone (212) 857-8700

Application packages must be received no later than 5:00 p.m. EST on April 1, 2005.

N.B. If you change your address, or if anything occurs that would affect your answers to this application, notice of such change should be sent to Circuit Executive Karen Greve Milton.